



Best Execution

Global Maxfin Capital Inc. ("GMCI") is required to ensure "Best Execution" for client orders. This means that GMCI has policies and procedures designed to ensure that the best available price and most favorable execution is obtained for client orders.

GMCI uses the services of Fidelity Clearing Canada ULC, our Carrying Broker/Custodian, for the execution of our client orders. Their best execution policy can be found at:

https://clearing.fidelity.ca/cs/Satellite/doc/fcc_disclosure_of_best_execution_policies.pdf

The document sets out Fidelity Clearing Canada's (FCC) obligation, factors, order handling and routing practices intended to achieve best execution for client orders for listed securities, as outlined in IIROC Dealer Member Rule 3300.

GMCI will review FCC's adherence to the policy on a quarterly basis. GMCI's Risk Committee will request Fidelity's attestations, review their policies, as well as monitor client activity to ensure compliance with IIROC rule.